



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Robert David Freeman, Thomas Edward Berg, David William Niss,

Peter Jeffrey Raymond

Assignee:

DataPlay, Inc.

Title:

Tilt Focus Method And Mechanism For An Optical Drive

Serial No.:

09/815,377

Filing Date:

March 21, 2001

Examiner:

Unknown

Group Art Unit: 2

2151

Docket No.:

M-8745-1P US

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COMMISSIONER FOR PATENTS Washington, D. C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c) WITH CERTIFICATION UNDER 37 C.F.R. §§ 1.97(e)(1) and 1.704 (d)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants wish to call the following documents (copies enclosed) to the attention of the Examiner for the above-identified patent application. The documents were first cited by in the Notification of Transmittal of the International Search Report of the Declaration for the corresponding foreign PCT Application No. PCT/US02/08562 dated March 19, 2002.

A form PTO-1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

 an admission that the documents are necessarily prior art with respect to the instant invention;

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- 2. a representation that a search has been made, other than as described above; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies in accordance with §§ 1.97(e)(1) and 1.704(d) that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement and this communication was not received by any individual designated in § 1.56(c) more than 30 days prior to the filing of this information disclosure statement.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on Septemb 16, 2002.

Gary J. Edwards

Attorney for Applicants

Respectfully submitted,

Reg. No. 41,008

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